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*Dorothy DeFrancesco*  
Dorothy DeFrancesco

Attorney Docket No. 00616/RSBAssistant Commissioner for Patents  
Washington, D.C. 20231

Pursuant to 37 CFR 1.53(b), transmitted herewith for filing is the patent application of

Inventor(s):

Barry H. KATZ  
Thomas NORDSTROM  
Steven DRIBBEN

Title: ATHLETIC SHOE OR SNEAKER WITH STABILIZATION DEVICE

Priority Claim (35 U.S.C. 119) is made, based upon:

U.S. Provisional Application No. 60/260,570, filed January 9, 2001

U.S. Provisional Application No. 60/300,913, filed June 26, 2001

Enclosed herewith are:

- ☒ Specification (Description, Claims, Abstract): Pages 1 - 45; Number of claims 1 - 20
- ☒ Declaration and Power of Attorney (executed)
- ☒ 8 Sheets of drawings, Figures 1 - 10 ☒ Formal ☐ Informal
- ☒ Information Disclosure Statement including Forms PTO/SB/08A and PTO/SB/08B (5 sheets)
- ☒ Preliminary Amendment
- ☒ Small Entity Status is asserted for this application
- ☒ ASSIGNMENT, Recordation Form Cover Sheet (Form PTO-15950 and Check for \$40)
- ☒ Change of Correspondence Address (Form PTO/SB/122)
- ☒ Receipt Postcard
- ☒ Check for \$ 370 (filing fee - SMALL ENTITY)

|                             | Number Filed    | Number Extra | SMALL ENTITY Rate | Calculations     |
|-----------------------------|-----------------|--------------|-------------------|------------------|
| Total Claims                | <u>20</u> -20 = | -            | x \$ 9.00 =       | \$ -             |
| Independent Claims          | <u>3</u> -3 =   | -            | x \$42.00 =       | \$ -             |
| MULTIPLE DEPENDENT CLAIMS   |                 |              | + \$140.00 =      | \$ -             |
| BASIC FEE (SMALL ENTITY)    |                 |              |                   | \$ 370.00        |
| Total of above Calculations |                 |              |                   | \$ <u>370.00</u> |

To the extent not tendered by check, authorization is given to charge any fees under 37 CFR 1.16 and 1.17 during pendency of the application, or to credit any overpayment, to Deposit Account No. 06-1378. Duplicate copy of this letter is enclosed.

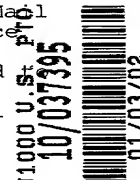
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By: *Richard S. Barth*  
RICHARD S. BARTH - REG. NO. 28,180

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PTO/SB/122 (10-00)

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## CHANGE OF CORRESPONDENCE ADDRESS Application

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First Named Inventor

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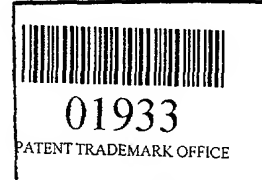
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Assignee of record of the entire interest.



Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).



Attorney or Agent of record.



Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed  
Name

RICHARD S. BARTH - REG. NO. 28,180

Signature

Date

January 3, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.



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